

Cboe Futures Exchange, LLC

Authorized Trader Status Certification Form

This certification is made to Cboe Futures Exchange, LLC (“CFE”) by the individual (“Individual”) and the CFE Trading Privilege Holder (“Firm”) identified below.

Individual has either applied for registration as a Floor Broker with the Commodity Futures Trading Commission (“CFTC”) through the National Futures Association (“NFA”) or is currently registered as a Floor Broker with the CFTC through NFA.

Under CFE rules, an Authorized Trader is defined to include any natural person who is a CFE Trading Privilege Holder (“TPH”) or who is authorized by a CFE TPH to access CFE’s trading system on behalf of the TPH.

In connection with Individual’s application to NFA to become registered with the CFTC as a Floor Broker or continued registration as a Floor Broker with the CFTC through NFA:

- Individual and Firm each certify to CFE that Individual is currently an Authorized Trader of Firm as defined by CFE rules.
- Individual and Firm each agree to promptly notify the CFE Membership Services Department via email to MembershipServices@cboe.com if Individual no longer is an Authorized Trader of Firm as defined by CFE rules. It is permissible for Individual and Firm to provide this notification in one communication but Individual and Firm are each responsible for ensuring that this notification is provided.

Please note that CFE is not making, and does not make, any determinations regarding whether or not Individual qualifies to register, or to remain registered, as a Floor Broker with the CFTC or regarding whether or not Individual or Firm qualifies or is required to register in any CFTC registration capacity. CFE’s acceptance of this form in no way implies that CFE has made any such determination. CFE does not provide guidance regarding qualification for CFTC registration capacities or related requirements. If Individual and Firm have any questions in this regard, they may confer with their own legal counsel, NFA, and/or the CFTC.

Completed by Individual	
Individual Name	NFA ID
Position with Firm	
Signature	Date
Email Address	Phone

Completed by CFE TPH Firm	
TPH Organization Name	NFA ID
Printed Name of Authorized Signatory	Title
Signature	Date
Email Address	Phone