

**Cboe Futures Exchange, LLC  
Swiss Trading Privilege Holder  
Supplemental Application Form**

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The business organization referenced below ("Organization") represents and warrants to Cboe Futures Exchange, LLC ("CFE") that as of the date of the Organization's application for Trading Privileges and on each day thereafter until the Organization's Trading Privileges are terminated that the Organization is and will be a legal entity domiciled in Switzerland which:

- is licensed and supervised by the Swiss Financial Market Supervisory Authority ("FINMA") as a securities firm pursuant to art. 41 Swiss Financial Institutions Act ("FinIA")
  
- is otherwise licensed and supervised by FINMA (e.g., as a bank pursuant to the Swiss Banking Act, an insurance company pursuant to the Swiss Insurance Supervision Act, a portfolio manager pursuant to art. 17(1) FinIA, a trustee pursuant to art. 17(2) FinIA, a manager of collective assets pursuant to art. 24(1) FinIA, or a fund management company pursuant to art. 32 FinIA)
  
- engages in proprietary trading activities, i.e. trades in securities for its own account, but is not a securities firm in the sense of art. 41(b) FinIA (in connection with the implementing ordinance) because at least one of the following conditions (a.-d.) is not met:
  - a. it trades on a professional basis
  - b. it trades on a short-term basis
  - c. it operates mainly in the financial market
  - d. (i) it thereby could jeopardize the functioning of the financial market (e.g., if its transaction volume exceeds CHF 5 billion per calendar year in Switzerland) or (ii) it is admitted to a trading venue as a direct participant

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Name of Organization

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Signature of Authorized Signatory

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Name of Authorized Signatory

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Title of Authorized Signatory

\_\_\_\_\_  
Date