

Cboe Futures Exchange, LLC

Clearing Member Give-Up Authorization and Guarantee

This Cboe Futures Exchange, LLC ("CFE" or "Exchange") Clearing Member Give-Up Authorization and Guarantee form ("Guarantee") is to be completed by a Clearing Member for the CFE Trading Privilege Holder ("TPH") below.

Name of TPH

Check one or both of the boxes below and include the clearing number(s) or identification number, as applicable:

- ☐ This Guarantee applies to transactions of the TPH on CFE in Exchange Contracts designated by CFE to be cleared by The Options Clearing Corporation ("OCC") resulting from orders and other messages that are transmitted to the CFE System by the TPH with an EFID corresponding to an OCC clearing number of Clearing Member that is identified below.

If this box is checked, identify the applicable OCC clearing number(s) below.

Clearing Member OCC Clearing Number(s)

- ☐ This Guarantee applies to transactions of the TPH on CFE in Exchange Contracts designated by CFE to be cleared by Cboe Clear U.S., LLC ("Cboe Clear US") resulting from orders and other messages that are transmitted to the CFE System by the TPH with an EFID corresponding to Clearing Member's Cboe Clear US clearing member identification number (ID) that is identified below.

If this box is checked, identify the applicable ID below.

Clearing Member Cboe Clear US ID

In accordance with CFE Rule 1101, Clearing Member authorizes the TPH to give-up Clearing Member's OCC clearing number(s) and/or Cboe Clear US ID identified above on CFE and Clearing Member advises CFE that it guarantees and assumes financial responsibility for all transactions on CFE resulting from any orders and other messages that are transmitted to the CFE System by the TPH with an EFID corresponding to an OCC clearing number and/or Cboe Clear US ID of Clearing Member identified above; provided, however, that for give-out transactions, Clearing Member's guarantee hereunder shall be effective only until such time as the relevant give-out transaction is accepted for clearing by another clearing firm. Clearing Member guarantees and assumes financial responsibility for such transactions on CFE until such time as the relevant transaction is accepted for clearing by another clearing firm, even if orders or other messages transmitted to the CFE System by the TPH with an EFID corresponding to an OCC clearing number and/or Cboe Clear US ID of Clearing Member identified above (i) were entered as a result of a failure in applicable security and/or credit controls, (ii) were entered by an unknown or unauthorized user, or (iii) exceeded Clearing Member's credit parameters.

Name of CFE Clearing Member

Clearing Member Representative Signature

Clearing Member Representative Printed Name

Clearing Member Representative Title

Date