

Registration of OCC Clearing Number(s) For Options Regulatory Fee ('ORF') Billing Calculation

Each Cboe Clearing Trading Permit Holder and Clearing Member ("Member") must identify all Options Clearing Corporation ("OCC") clearing numbers associated with its membership, including those that are not registered to be given up on transactions executed on the Exchange.

The ORF is assessed by the Exchange to each Member for options transactions cleared by the Member that are cleared by OCC in the customer range, regardless of the exchange on which the transaction occurs.

Trading Platform: Check the applicable Exchange trading platform(s):

- Cboe BZX Exchange, Inc.
- Cboe C2 Exchange, Inc.
- Cboe EDGX Exchange, Inc.
- Cboe Exchange, Inc.

OCC CLEARING MEMBER				
Member Name:				
BILLING CONTACT				
Name:			Title:	
Phone:			Email:	
OCC CLEARING NUMBER DESIGNATION				
OCC #:	OCC #:	OCC #:	OCC #:	OCC #:
OCC #:	OCC #:	OCC #:	OCC #:	OCC #:

Please fill out the above information and email the completed form as an attachment to MembershipServices@cboe.com.

Additional Information:

Questions related to the rules and related requirements may be directed to the Regulatory Division's Regulatory Interpretations and Guidance team. Questions regarding the submission of this form may be directed to the Membership Services Department.

Regulatory Interpretations
RegInterps@cboe.com
(312) 786-8141

Membership Services
MembershipServices@cboe.com
(913) 815-7002

Signature of Authorized Officer, Partner or Managing Member

Date

Print Name

Title