

Cboe Exchange, Inc.
French Trading Permit Holder
Supplemental Application Form

The business organization referenced below ("Organization") represents and warrants to Cboe Exchange, Inc. ("Cboe Options") that as of the date of the Organization's application for a Trading Permit and on each day thereafter until the Organization's Trading Permit is terminated:

- ☐ The Organization is a "professional investor" under Article L. 533-16 of the French Financial and Monetary Code.

The Organization is at least one of the following (select all that apply):

- ☐ The Organization is a credit institution referred to in article L. 511-9 of the French Financial and Monetary Code
- ☐ The Organization is an investment firm referred to in article L. 531-4 of the French Financial and Monetary Code;
- ☐ The Organization is an authorized or regulated financial institution;
- ☐ The Organization is an Insurance company and/or reinsurance company referred to respectively in the first indent of article L. 310-1 and article L. 310-1-1 of the Insurance Code, companies of an insurance group referred to in article L. 322-1-2 of the same Code, mutual company and group of mutual companies governed by book II of the Mutual Companies Code (*Code de la Mutualité*), mutual grouping unions referred to in article L. 111-4-2 of the same Code, and benefits institutions / welfare institutions and their unions governed by the title III of book IX of the Social Security Code (*Code de la Sécurité Sociale*) and the sociétés de groupe assurantiel de protection sociale referred to in article L. 931-2-2 of the same Code;
- ☐ The Organization is a collective investment undertaking referred to in section I of article L. 214-1 of the French Financial and Monetary Code and management companies of such collective investment undertaking referred to in article L. 543-1 of the same Code;
- ☐ The Organization the reserve fund for retirement (*fonds de réserves pour les retraites*) referred to in article L. 135-6 of the Social Security Code, professional retirement institutions (*institutions de retraites professionnelles*) referred to in article L. 370-1 of the Insurance Code for the transactions referred to in article L. 370-2 of the same Code, the legal persons managing professional retirement institutions (*institutions de retraites professionnelles*) referred to in article 5 of the *Ordonnance* n° 2006-344 dated March 23, 2006 relating to the management of supplemental professional pensions (*retraites professionnelles supplémentaires*);
- ☐ The Organization is person(s) which main activities consists of dealing for own account commodities or commodity derivatives referred to in 2° j) of article L. 531-2 of the French Financial Monetary Code;

- ☐ The Organization is the “*Caisse des dépôts et consignations*” and other authorized or regulated institutional investors ;
- ☐ The Organization meets at least two out of three following criteria, on the basis of non-consolidated financial statements:
 - balance sheet total equal or higher than EUR 20,000,000,
 - net turnover equal or higher than EUR 40,000,000,
 - own funds equal or higher than EUR 2,000,000.
- ☐ The Organization is The French State, the *Caisse de la dette publique*, the *Caisse d’amortissement de la dette sociale*, the Bank of France, the Issuing institution of the Overseas Departments, the Overseas Issuing Institution;
- ☐ The Organization is an institutional investor the main activities of which consist of investing in financial instruments, including the investment companies referred to in article 6 of the *Ordonnance* of 2 November 1945, capital risk companies referred to in article 1 of the law n°85-695 dated 11 July 1985; and the innovation venture capital company referred to in article 4 paragraph III of the law n°72-650 dated 11 July 1972;
- ☐ The Organization is a foreign (i.e. non-French) entity which is identical to the entities which have the status of professional investors within another Member State of the European Union or within a State member of the EEA;
- ☐ The Organization is an international public financial organization to which France or any other member State of the OECD belongs.

Name of Organization

Signature of Authorized Signatory

Name of Authorized Signatory

Title of Authorized Signatory

Date