

## CLEARING TRADING PERMIT HOLDER/MEMBER RESTRICTION FORM

**Clearing TPH/Member:**

In accordance with Cboe Markets’ rules, the Clearing TPH/Member listed above authorizes Cboe to restrict The Options Clearing Corporation (“OCC”) number(s) listed below requiring prior authorization for use as a Give-Up on Cboe Exchange, Inc., Cboe C2 Exchange, Inc., Cboe BZX Exchange, Inc., and/or Cboe EDGX Exchange, Inc., (collectively, “Cboe Markets”). The Clearing TPH/Member must indicate below the OCC number(s) to be restricted for each relevant Cboe Market.

**NOTE:** Once an OCC number is marked as restricted, no TPH/Member will be able to use that restricted OCC number unless they have either: (1) an executed clearing guarantee on file with Membership with that Clearing TPH/Member; or (2) the TPH/Member is authorized by the Clearing TPH/Member pursuant to the applicable Cboe Market rule.

**Instructions:** To authorize or revoke a TPH’s/Member’s use of a restricted OCC number, please enter the applicable information below and submit to [MembershipServices@cboe.com](mailto:MembershipServices@cboe.com). List the restricted OCC number in column 1 and provide, if applicable, each TPH/Member that is authorized or restricted on each OCC number in column 2. Check all applicable Cboe Markets in columns 5 through 8. If necessary, please attach additional sheets.

1	2	3	4	5	6	7	8
Restricted OCC Number	TPH/Member Name	Authorized	Revoked	Cboe Options	C2	Cboe BZX	Cboe EDGX
<b>1</b>							
<b>2</b>							
<b>3</b>							

By executing this Clearing TPH/Member Restriction Form, the Clearing TPH/Member grants Cboe permission to publish the Clearing TPH’s/Member’s restricted OCC number(s) on Cboe’s website for purposes of providing notice to other exchange members that the Clearing TPH’s/Member’s OCC number(s) will not be available for Give Up.

Authorized Signature:	Date:
Print Name:	Title:
Clearing TPH/Member Contact Person (to be provided to market participants seeking authorization):	
Email Address:	Phone: