



Retail Order Participation Attestation

European Equities

This Addendum is for Participants of Cboe Europe Limited ("Cboe UK") or Cboe Europe B.V. ("Cboe NL") or both (collectively "Cboe"). Please complete all of the applicable fields.

General Information			
Trading Participant Name:			
Registered Address:			
City:		Country:	

Business Contact			
Name:		Email:	
Title:		Phone:	

Cboe Europe Venue		
Tick Which Applies:		
Cboe Europe Equities	Cboe UK:	Cboe NL:

By executing this Attestation, the Trading Participant represents that it will only send retail designated orders to Cboe that meet the definition as prescribed below.

The Participant acknowledges and represents that it will designate all and any orders as Retail Orders if the order meets all of the following:

- The order is submitted in an agency (AOTC) or riskless principal (MTCH) capacity for execution on behalf of a natural person or legal person;
- The order is reasonably believed to originate from a natural person or legal person not categorised by the Participant as a Professional Client or Eligible Counterparty according to UK MIFID II or Directive 2014/65/EU on Markets in Financial Instruments (MiFID II) as applicable;
- The order does not originate from a trading algorithm or any other computerised methodology (other than for the purposes of managing the order's submission to the market);
- The order has not been changed by the Participant or an intermediary in terms of the price or side of the market.
- The order is submitted to Cboe Europe by a Participant who has completed the Retail Participant order attestation.

The Participant further represents that it will maintain procedures reasonably designed to assure that it will only designate orders as Retail Orders if the requirements of a retail order are met.

The Participant hereby agrees that this attestation form shall be governed by the Cboe Participant Agreement executed between Cboe and the Participant. Unless otherwise provided herein, defined terms in this form shall have the same meaning ascribed to them in the Cboe Participant Agreement.

Signed on behalf of Recipient:

By
(printed):

Signature:

Title:

Date:

Please return the completed addendum, duly executed, to ParticipantServicesEurope@cboe.com

For any enquiries please contact SalesEurope@cboe.com or + 44 20 7 012 8906.