

Information Circular IC03-06

Date: January 14, 2003
To: Membership
From: Legal Division
Re: 2002 Information Circulars

Following is a list of the Information Circulars issued by the Exchange during 2002. Any questions with regard to a specific circular may be directed to the department or committee that issued the notice.

2002 INFORMATION CIRCULARS

<u>Circular</u>	<u>Subject</u>	<u>Issued By</u>	<u>Date Issued</u>
IC02-01	Memo from Mark Duffy to CBOE Members	Mark Duffy	01-01-02
IC02-02	2002 Committee Structure – A Preface	Mark Duffy	01-02-02
IC02-03	Fee Reductions for the Quarter Beginning 1/1/02	Office of the Chairman	01-02-02
IC02-04	Clearing Firm Acronym Change	Trading Systems Support	01-03-02
IC02-05	2002 Committees	Mark Duffy	01-04-02
IC02-06	CBOEdirect	Bill Brodsky	01-08-02
IC02-07	Pulse Phase 3 Rollout	Trading Operations	01-08-02
IC02-08	One Chicago, L.L.C. LMM Applications	Market Quality Assurance	01-08-02
IC02-09	Allocation of Option Classes	Allocation Committee	01-09-02
IC02-10	Allocation of Option Classes	Allocation Committee	01-09-02
IC02-11	Memo from Mark Duffy to CBOE Lessors	Mark Duffy	01-14-02
IC02-12	Member Firm Operations Committee, Clearing Procedures Committee, Financial Regulatory Committee for 2002	Mark Duffy	01-16-02
IC02-13	Information Circulars Issued in 2000 and 2001	Legal	01-23-02
IC02-14	Allocation of Option Classes	Allocation Committee	01-23-02

IC02-15	2002 Committees	Mark Duffy	02-04-02
IC02-16	Allocation of Option Classes	Allocation Committee	02-06-02
IC02-17	Allocation of Option Classes	Allocation Committee	02-06-02
IC02-18	2002 Committees: Arbitration and Appeals Committee	Mark Duffy	02-12-02
IC02-19	Allocation of Option Classes	Allocation Committee	02-14-02
IC02-20	Membership Meeting: February 25 and March 4, 2002	Office of the Chairman	02-19-02
IC02-21	Elimination of Section 31 (b) Fees from Index Options Transactions	Legal	02-20-02
IC02-22	Elimination of Section 31(b) Fees from Index Options Transactions	Legal	02-22-02
IC02-23	Open Interest Newsletter	Public Relations	02-25-02
IC02-24	Transfer of Option Classes	Allocation Committee	02-25-02
IC02-25	Transfer of Option Classes	Allocation Committee	02-27-02
IC02-26	Allocation of Option Classes	Allocation Committee	03-04-02
IC02-27	Allocation of Option Classes	Allocation Committee	03-04-02
IC02-28	LEAP Conversion Schedule for All Cycles	Trading Systems Support	03-04-02
IC02-29	Reallocation of Option Classes	Allocation Committee	03-06-02
IC02-30	Allocation of Option Classes	Allocation Committee	03-06-02
IC02-31	Membership Vote Regarding Proposed Governance Changes	Board of Directors	03-14-02
IC02-32	Board Adopted Revisions Regarding Membership Votes and Committee Procedures	Board of Directors	03-14-02
IC02-33	TNT Version 4.00	Trading Operations	03-13-02
IC02-34	Allocation of Option Classes	Allocation Committee	03-14-02
IC02-35	iSpreads – Spread Order Facility	Business Development	03-19-02
IC02-36	Allocation of Option Classes	Allocation Committee	03-20-02

IC02-37	William Brodsky Letter to Membership Regarding Competitive Initiatives	Corporate Communications	03-22-02
IC02-38	Membership Vote Regarding Proposed Governance Changes	Office of the Secretary	03-27-02
IC02-39	RAES Block for Manual Quotes and Step-Up From AQ	Trading Operations	04-01-02
IC02-40	Dynamic Quote with Size Functionality	Trading Operations	04-01-02
IC02-41	Allocation of Option Classes	Allocation Committee	04-04-02
IC02-42	Allocation of Option Classes	Allocation Committee	04-04-02
IC02-43	Reallocation of Option Classes	Allocation Committee	04-04-02
IC02-44	CBOE BuyWrite Monthly Index (BXM)	Business Development	04-11-02
IC02-45	Allocation of Option Classes	Allocation Committee	04-11-02
IC02-46	Bring Your Child to Work Day	Ed Joyce	04-19-02
IC02-47	New Vendor Service	Systems Operations/Trading Systems Support	04-10-02
IC02-48	Fee Changes	Office of the Chairman	04-30-02
IC02-49	Board Meeting Update	Chairman	05-09-02
IC02-50	DPM Seat Ownership Requirement	DPM Administration Department	05-10-02
IC02-51	Membership Meeting	Membership	05-13-02
IC02-52	Exchange Governance Structure	William Brodsky	05-14-02
IC02-53	Membership Meeting	Office of the Chairman	05-15-02
IC02-54	Open Interest	Public Relations	05-16-02
IC02-55	Allocation of Option Classes	Allocation Committee	05-24-02
IC02-56	Allocation of Option Classes	Allocation Committee	05-24-02
IC02-57	OEX Exercise Advice Box and Time Clock	Index Floor Procedure Committee	05-24-02
IC02-58	TNT Version 4.00	Trading Operations	05-28-02

IC02-59	MNX Customer Fees Reduction and Firm Facilitation Rates Clarification	Financial Planning	05-31-02
IC02-60	RAES Block for Locked and Inverted Markets	Trading Operations	05-23-02
IC02-61	Membership Meeting – Tuesday, June 11, 2002 – Schedule of Membership Meetings for the Year	Office of the Chairman	06-04-02
IC02-62	Allocation of Option Classes	Allocation Committee	06-06-02
IC02-63	Security Modifications	Security	06-07-02
IC02-64	Telecomm Service Center Move	Telecommunications	06-10-02
IC02-65	Allocation of Option Class	Allocation Committee	06-12-02
IC02-66	Fiscal 2003 Fee Changes and Prospective Fee Reduction Program	Financial Planning Committee	06-17-02
IC02-67	Large Trader Reporting System For Security Futures Products	Intermarket Surveillance Group (ISG), American Stock Exchange (AMEX), Chicago Mercantile Exchange (CME), Island Futures Exchange, L.L.C. (IFX), Nasdaq Liffe Markets, L.L.C. (NQLX), OneChicago, L.L.C. (ONE), Philadelphia Stock Exchange (PHLX)	05-22-02
IC02-68	Membership Meeting	Office of the Chairman	06-19-02
IC02-69	Governance Update	Floor Directors	06-19-02
IC02-70	Electronic Access Firm Proposal	Membership Committee	06-20-02
IC02-71	Allocation of Option Classes	Allocation Committee	06-28-02
IC02-72	Relocation of DPMs and Trading Crowds on CBOE	Allocation Committee	07-01-02
IC02-73	Open Interest Newsletter	Public Relations	07-01-02
IC02-74	Allocation of Option Classes	Allocation Committee	07-03-02
IC02-75	Voluntary Delisting of Option Classes	Allocation Committee	07-10-02
IC02-76	Membership Meeting	Office of the Chairman	07-12-02
IC02-77	LOU Rollout	Trading Operations	07-22-02

IC02-78	NASDAQ – Elimination of Bid Tick and UPC Indicators	Systems Operations/Trading Systems Support	08-15-02
IC02-79	Changes to PAR Functionality	Market Regulation	07-29-02
IC02-80	Governance Recommendations	Bill Brodsky, Mark Duffy	07-16-02
IC02-81	Allocation of Option Classes	Allocation Committee	07-25-02
IC02-82	Governance Recommendations of the Board of Directors	Bill Brodsky, Mark Duffy	07-22-02
IC02-83	Letter to Members	Bill Brodsky	07-31-02
IC02-84A	New SEMS Workstation Install	Trading Operations	07-30-02
IC02-84B	New SEMS Workstation Install	Trading Operations	07-30-02
IC02-85	Reduced Costs Passed Through for Tethered Market-Maker Handheld Terminal (MMHH) Electrical Services	Floor Procedure Committee	08-05-02
IC02-86	New RAES Access Fee in Certain Products Effective 8-1-02	Floor Procedure Committee	08-05-02
IC02-87	New Member Market-Maker (N origin Code) Transaction Fee Increase Suspended	Financial Planning	08-05-02
IC02-88	PAR Buy-Side Reporting	Market Regulation	08-08-02
IC02-89	Membership Voting Results Hotline	Office of the Secretary	08-08-02
IC02-90	Special Membership Meeting – August 15, 2002	Office of the Secretary	08-12-02
IC02-91	Allocation of Option Classes	Allocation Committee	08-14-02
IC02-92	Election Certificate	Office of the Secretary	08-15-02
IC02-93	Selection of Nominees for the Board of Directors, Nominating Committee and MTS Appointments Committee	Nominating Committee	08-16-02
IC02-94	Vote Governance Recommendations	Office of the Chairman	08-16-02
IC02-95	Partnership with John B. Drake School – Back to School Supply Drive	Office of the Chairman	08-16-02
IC02-96	Invitation to Meet with Nominating Committee	Chairman of Nominating Committee	08-19-02
IC02-97	Great America Tickets on Sale	Accounting	08-20-02

IC02-98	Membership Meeting	Office of the Chairman	08-23-02
IC02-99	Nominating Committee Meetings	Nominating Committee	08-26-02
IC02-100	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	08-30-02
IC02-101	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	09-05-02
IC02-102	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	09-06-02
IC02-103	Membership Vote on Electronic Access Firm Proposal	Office of the Chairman	09-09-02
IC02-104	Commerative September 11 th Ceremonies	Office of the Chairman	09-10-02
IC02-105	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	9-10-02
IC02-106	Relocation of DPMs and Trading Crowds on CBOE	Allocation Committee	9-13-02
IC02-107	Membership Meeting	Office of the Chairman	9-16-02
IC02-108	Allocation of Option Classes	Allocation Committee	9-17-02
IC02-109	Krispy Kreme Party Invitation	Public Relations	9-18-02
IC02-110	Nominating Committee Meetings – Revised Schedule	Nominating Committee	9-26-02
IC02-111	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	9-26-02
IC02-112	Allocation of Option Classes	Allocation Committee	9-26-02
IC02-113	Relocation of DPM's and Trading Crowds on CBOE	Allocation Committee	9-26-02
IC02-114	Membership Subcommittee on Electronic Access	Membership Subcommittee	9-30-02
IC02-115	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	10-2-02

IC02-116	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	10-3-02
IC02-117	Allocation of Option Classes	Allocation Committee	10-4-02
IC02-118	CBOE – Cincinnati Stock Exchange Affiliation History and Relationship	Mark Duffy	10-8-02
IC02-119	Persons Who Have Submitted Their Names to Fill Vacancies on the Board of Directors, the Nominating Committee and the MTS Committee	Nominating Committee	10-7-02
IC02-120	Candidates for the Board of Directors, the Nominating Committee and the MTS Appointments Committee	Nominating Committee	10-8-02
IC02-121	Reallocation of Option Class	Allocation Committee	10-9-02
IC02-122	Allocation of Option Classes	Allocation Committee	10-9-02
IC02-123	Membership Voting Results Hotline	Office of the Secretary	10-10-02
IC02-124	Annual Member's Meeting	Office of the Chairman	10-10-02
IC02-125	Visitor's Gallery	CBOE Security	10-16-02
IC02-126	Building Evacuation Drill	Floor Officials	10-16-02
IC02-127	Election Certificate (EAF Vote)	Office of the Secretary	10-17-02
IC02-128	Letters to Members	Public Relations	10-18-02
IC02-129	Open Interest Newsletter	Public Relations	10-18-02
IC02-130	OneChicago Launch of Security Futures Trading	Business Development Division	10-30-02
IC02-131	Allocation of Option Class	Allocation Committee	10-31-02
IC02-132	Void		
IC02-133	ILX and Reuters – How to Call Up Single Stock Futures	Systems Operations/Trading Systems Support	11-8-02
IC02-134	Annual Holiday Food Drive	Office of the Chairman	11-06-02
IC02-135	Void		
IC02-136	Reallocation of Option Classes	Allocation Committee	11-7-02

IC02-137	“Warm Some Hearts” Coat Drive – Drake Elementary School	Office of the Chairman	11-14-02
IC02-138	Membership Meeting Cancellation	Office of the Chairman	11-13-02
IC02-139	RAES Login Screen Changes	Trading Operations	11-21-02
IC02-140	SEC Approval of Rule Filing Concerning Governance of the Exchange	Legal	11-13-02
IC02-141	Allocation of Option Classes	Allocation Committee	11-13-02
IC02-142	Annual Election Meeting	Election Committee	11-15-02
IC02-143	Annual Election Meeting	Election Committee	11-18-02
IC02-144	Stock Futures Available at OneChicago	Public Relations	11-19-02
IC02-145	Annual Election Meeting	Election Committee	11-19-02
IC02-146	Annual Election Meeting	Election Committee	11-20-02
IC02-147	Equities Non-Customer RAES Access Fee Effective November 21, 2002	Financial Planning Committee	11-21-02
IC02-148	Annual Election Certificate	Office of the Secretary	11-21-02
IC02-149	Compliance with Final Judgment Agreed to With the Department of Justice	Legal	11-25-02
IC02-150	Additional Stock Futures Available at OneChicago	Corporate Communications	11-27-02
IC02-151	Allocation of Option Class	Allocation Committee	11-27-02
IC02-152	Vice Chair	Board of Directors	12-4-02
IC02-153	NASD Trading Activity Fee	Legal	12-4-02
IC02-154	Membership Meetings	Office of the Chairman	12-10-02
IC02-155	Family Day	Bill Brodsky/Ed Joyce	12-11-02
IC02-156	Holiday Gifts	Floor Officials Committee	12-13-02
IC02-157	Allocation of Option Classes	Allocation Committee	12-13-02
IC02-158	Open Interest Newsletter	Public Relations	12-19-02
IC02-159	Vice Chairman	Bill Brodsky/Board of Directors	12-23-02

IC02-160	Membership Meeting	Office of the Chairman	12-26-02
IC02-161	Special Meeting of the Members to Consider Petitions	Executive Committee	12-31-02

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