



Date: December 5, 2003  
To: Membership  
From: CBOE  
Re: New Web Page Featuring Legal and Regulatory Information

Information Circular IC 03-161

The Exchange is pleased to announce the launch of a new section of CBOE's website that contains legal and regulatory information concerning CBOE. The goal of the new web page is to offer pertinent legal and regulatory information on a more expeditious basis and in an easily accessible form. The web page may be accessed directly at [www.cboe.com/legal](http://www.cboe.com/legal) and may also be accessed on the CBOE home page by clicking the "About CBOE" link and then clicking on the "Legal" link. The web page offers the following information:

#### **CBOE Rule Filings**

- SEC notices of CBOE rule filings that have been published in the Federal Register since January 1, 2003 are currently listed (copies of specific rule filings can be obtained from the Legal Division).
- Effective CBOE rule filings that have been published in the Federal Register since January 1, 2003 are currently listed.

#### **Circulars**

- CBOE regulatory and information circulars that have been issued since January 1, 2003 are currently listed (the Legal Division intends to list the circulars when they are issued).

#### **Disciplinary Information**

- Disciplinary decisions issued by the Business Conduct Committee (BCC) since January 1, 2003 are currently listed. Our goal is to add all pre-2003 BCC decisions within the next year. There is a search functionality allowing the search of disciplinary decisions for specific terms.
- The disciplinary section contains the CBOE Customer Pamphlet and CBOE Member Pamphlet describing CBOE's disciplinary process.

#### **Arbitration Information**

- Arbitration awards since January 1, 2002 are currently listed. Our goal is to add all pre-2002 arbitration awards within the next year. There is a search functionality that allows the search of arbitration awards for specific terms.
- The arbitration section contains arbitration information and forms with respect to the initiation of an arbitration claim.

#### **CBOE Rules and Constitution**

- CBOE plans to provide the CBOE Rulebook and the Constitution free of cost to members and the public on the legal web page in the near future.