



Regulatory Circular RG12-112

Date: August 15, 2012

From: Regulatory Services Division (Department of Market Regulation)

To: CBSX Trading Permit Holders

Re: Online Appointment System

Online Appointment System

In an effort to provide preapproved CBSX Remote Market-Makers ("RMM") with greater flexibility and timeliness in selecting and changing their appointments, the Exchange is providing CBSX RMMs with access to an online appointment system (MPPI). This system allows each CBSX RMM firm and/or individual to maintain its/his own appointments. MPPI enables CBSX RMMs to view and update their appointments as often as necessary, and affords each CBSX RMM organization the discretion as to who will have permission to make these changes.

Directions for setting up MPPI

Please E-Mail the attached document to CBOE's Market Quality and Allocation Department ("MQA") at mqa@CBOE.COM. You will be contacted when the setup is complete. If you have any further questions regarding online appointments, please contact the MQA Department at 312-786-7198.

Notes

1. Access to the Appointment System is based upon firm and/or individual accounts and requires authentication.
2. It is the responsibility of the authorized requester to contact CBOE's MQA Department at 312-786-7198 to disable access for any TPH accounts that should no longer have Appointment access. In addition, CBOE will contact the authorized requester on a quarterly basis to validate that the firm and/or individuals who are given access to the Appointment System still require Appointment access.

3. Appointment changes made via the Market Maker Appointment System by TPHs between 8:25 a.m. (CT) and 8:40 a.m. (CT) will not become effective until shortly after 8:40 a.m. (CT). Downloads are not made to the CBOE Command system between 8:25 a.m. (CT) and 8:40 a.m. (CT) to ensure the system's speed and integrity during the opening. It is the responsibility of the TPH, who made the appointment change, not to electronically quote any class that was dropped prior to 8:40 a.m. (CT). Once the download occurs dropped class quotes will be rejected. The CBOE Market Maker Appointment system should not be used as the regulator of classes that can and cannot be electronically quoted. It is the responsibility of the TPH to take any dropped classes out of their quoting system. (CBOE Stock Exchange Rule 53.22)
4. Your use of the Appointment System is subject to the Terms and Conditions of the CBOE Web site located at:
<http://www.cboe.com/Common/TermsConditions.aspx>

Trading Permit Holder (TPH) Name:	
First and Last Name of User Requiring Appointment access:	
IP Address: <i>Please contact your network admin group to determine the external public internet IP that the TPH sends all Internet browsing traffic through. This is not the IP address of your PC. Often, this address can be determined by visiting http://www.cboe.com/myip/ from the computers that will be accessing this system. Your network administrator, however, should verify this information and include additional IP addresses if applicable. Note that this application is only available via the Internet.</i>	
Access level needed <i>Firm– allows access to all appointments for firm</i> <i>Individual – allows access to only that TPH's</i>	Firm Or Individual

<i>appointments</i>	
Requester Name: <i>Must be an authorized signatory of the TPH</i>	
Requester Title:	
Requester Phone:	
Requester E-Mail Address:	
Requester Signature:	
Requester's Operation Support: <i>For notification of Appointment status</i>	E-Mail Address: Contact Name: Phone Number:

Questions concerning this memo can be addressed to the MQA Department at mqa@CBOE.com or 312-786-7198.