

Regulatory Circular RG15-137

Date: October 2, 2015

To: Trading Permit Holders From: Telecommunications

Re: Rule 6.23—Equipment and Communications on the Trading Floor

This Regulatory Circular updates Regulatory Circular RG15-115.

Prohibition Against Particular Technologies:

Rule 6.23(h) gives the Exchange the authority to "designate, via circular, specific communication devices that will not be permitted on the floor of the Exchange or Exchange trading crowds."

Pursuant to the authority described above, the Exchange has determined to add the following restrictions to the prohibitions previously identified in RG15-115:

- 1. Trading Permit Holders and TPH Organizations (collectively "TPHs") may not use communication devices that utilize 2.4 GHz and/or 5 GHz ISM radio frequency bands (e.g., wireless hotspots and access points) on the trading floor, unless the wireless interfaces can be shown to be disabled. This restriction includes, but is not limited to, devices using the IEEE 802.11 series of wireless local area network standards, unless the devices have been approved for use with the CBOE Wireless Network ("CWN").
- 2. TPHs may not use Ethernet hubs on the trading floor.

Please contact the API Group at API@cboe.com or (312) 786-7300 for questions regarding the procedures related to connecting to the CWN. Please contact Fred Mondt at mondtf@cboe.com or (312) 786-7228 for questions regarding hardwiring handheld terminals or connections between TPH network equipment and the trading floor.

Additional Information:

Regulatory questions regarding this circular may be directed to the Regulatory Interpretations and Guidance team at RegInterps@cboe.com or (312) 786-8141. Operational questions may be directed to the CBOE Help Desk at helpdesk@cboe.com or (866) 728-2263. Questions regarding the CWN may be directed to the API Group at API@cboe.com or (312) 786-7300.