



## CBOE Regulatory Circular RG16-139

**Date:** August 19, 2016  
**To:** CBOE Trading Permit Holders  
**From:** Regulatory Division  
**RE:** Deletion of Rules Related to Tied to Stock Orders

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### Updates and Replaces CBOE Regulatory Circulars RG15-056, RG15-018, RG14-171, and RG14-110

On July 21, 2016, CBOE filed a rule change with the U.S. Securities and Exchange Commission to **delete** the definition of Tied to Stock orders from its rules as well as the related Tied to Stock order marking and reporting requirements.<sup>1</sup> Effective upon filing, CBOE Rules 6.53(y), 6.77(e), and 15.2A were deleted. As a result of the rule change, representing **TPHs are no longer required** to include an indicator on each tied to stock order upon systematization.

However, please note TPHs are still required to submit reports of stock trades related to QCC transactions as described in Regulatory Circulars RG13-102 and RG15-087.

#### Additional information:

For questions related to the deletion of the Tied to Stock rule requirements, please contact CBOE Regulatory Interpretations at [reginterps@cboe.com](mailto:reginterps@cboe.com) or (312) 786-8141.

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<sup>1</sup> This rule change was effective upon filing. See Securities Exchange Act Release No. 78407 (July 25, 2016), 81 FR 50036 (July 29, 2016) (SR-CBOE-2016-057).