



Cboe Options Regulatory Circular RG17-160
C2 Options Regulatory Circular RG17-064

Date: November 15, 2017

To: Trading Permit Holders

From: Regulatory Division

RE: Obligation to Update Form BD

Pursuant to Cboe Rule 3.7, *Certain Documents Required of Trading Permit Holders, Applicants, and Associated Persons*, each Trading Permit Holder (TPH) that is a registered broker or dealer pursuant to Section 15 of the Exchange Act, shall promptly amend its Form BD as necessary.

TPHs are reminded of the requirement to update their Form BD (Uniform Application for Broker-Dealer registration) to accurately reflect any change in information to an existing Form BD, including but not limited to the disclosure of their clearing firm.

Form BD amendments are filed electronically through FINRA's Web CRD system.

Additional Information

Any questions regarding this Regulatory Circular may be referred to the Regulatory Interpretations team at RegInterps@cboe.com or 312-786-8141.