

Regulatory Circular 95-32

Date: April 7, 1995

To:Members and Clearing Firms

From:Department of Market Surveillance

Re:Reporting of Warrant Positions

Pursuant to Exchange Rule 8.9, Market-Makers are required to report their open positions in any stock index, currency index or currency warrant that is listed for trading. Positions must be reported on the trade date following the date of initiation. If there is no change to the position, a report need not be submitted. Form OE-8.9 (c) (attached) should be used to report such positions and filed with the Department of Market Surveillance. Members may elect to arrange for their clearing firm to complete and submit the form or substitute an in-house report in lieu of the form. Questions concerning this memorandum can be directed to Patricia Cerny at (312) 786-7722.