

Regulatory Circular RG96-30

Date: March 22, 1996

To: All Exchange Members, Firms, and Firm Personnel

From: Floor Officials Committee

Re: Food/Drink on the Exchange Trading Floor

Recently, the Floor Officials Committee has received an increasing number of complaints concerning persons who bring and/or consume food or drink on the CBOE trading floor. Due to health and safety reasons, as well as for the purpose of maintaining a businesslike atmosphere, **it is imperative that no food/drink be allowed onto the trading floor.** All possible violations will be reported to the Floor Officials Committee.

**Absolutely no food or drinks of any kind, including bottled water, is permitted.**

Please be advised that the **Exchange's security staff will begin monitoring compliance** with the Exchange's policy regarding food/drink on the floor. In the event that the Floor Officials Committee determines that a violation has occurred, it may issue a fine against the violator in accordance with Exchange Rules 6.20 and 17.50(g)(6).

Please be advised that pursuant to Exchange Rule 17.50 (g)(6) and the fine schedule set forth in Regulatory Circular RG 92-14, violations of the food/drink policy provides that the **first offense receive a \$250 fine, the second \$500, and the third \$1000.**

In the future, the Floor Officials Committee may occasionally patrol member firm booths. Any food or drink found in a member firm booth may result in a fine of the individual, and may result in a fine to the firm for failure to supervise (6.20.04 (iv)).

In trading crowds (including DPM crowds), any person with food/drink may be fined. If a clerk is found in violation, the person responsible for that clerk may also be fined for failure to supervise.

The Floor Officials Committee would like to remind all floor personnel that compliance with all Exchange rules, policies, and procedures is the responsibility of every member, member organization, and anyone employed by or associated with a member.